



# FONDITA

CUSTOMER INFORMATION FORM

CORPORATIONS AND INSTITUTIONS

Before subscription, please read key investor information (KIID) and fund regulations at [www.fondita.com](http://www.fondita.com)

Name of the client: \_\_\_\_\_

Business ID: \_\_\_\_\_

Address: \_\_\_\_\_

Postal code and city: \_\_\_\_\_

E-mail: \_\_\_\_\_

E-mail may be used for reporting

Yes

No

Language English

Finnish

Swedish

Bank account number (IBAN & BIC): \_\_\_\_\_

Contact person & phone number: \_\_\_\_\_

Possible additional reporting address: \_\_\_\_\_

Countries of taxation: \_\_\_\_\_

Tax identification number (TIN) if applicable: \_\_\_\_\_

*The questions below are based on the Act on Detecting and Preventing Money Laundering and Terrorist*  
We are obligated to collect the following information to fulfil our legal obligations.

Branch of industry: \_\_\_\_\_

The company's financial position (according to the latest financial statement)

Revenue: \_\_\_\_\_ euro

Equity: \_\_\_\_\_ euro

Total balance: \_\_\_\_\_ euro

Profit / -Loss: \_\_\_\_\_ euro

Origin of assets used for subscription:

Business profit:

Financial investments:

Other, what? \_\_\_\_\_

The objective of the  
subscription?

General investment activity / return on investment

Other, what? \_\_\_\_\_

Information about the beneficial owners

A controlling person is a natural person who has authority over the company. All natural persons who directly or indirectly hold more than 25 % of the company’s shares or votes are considered beneficial owners. The voting rights can also be based on articles of association, partnership agreement or other agreements. A person is considered as a beneficial owner if he or she has the right to appoint or dismiss the majority of the board.

If the company is owned by another company (ownership exceeds 25 %), the beneficial owners for the controlling company have to be disclosed too.

Number of beneficial owners: \_\_\_\_\_

If the company has more than 3 beneficial owners please send the information about the beneficial owners by e-mail to info@fondita.fi.

Beneficial owner 1.

Name: \_\_\_\_\_ Personal identity code: \_\_\_\_\_ Share %: \_\_\_\_\_

Home address: \_\_\_\_\_

Citizenship(s): \_\_\_\_\_

Countries of taxation: \_\_\_\_\_ Foreign TIN: \_\_\_\_\_

The investor is or has been a politically exposed person (PEP) \* during the last 12 months or a family member or a close business partner to one?

Yes  Additional information if the answer is yes: \_\_\_\_\_  
No  \_\_\_\_\_

Beneficial owner 2.

Name: \_\_\_\_\_ Personal identity code: \_\_\_\_\_ Share %: \_\_\_\_\_

Home address: \_\_\_\_\_

Citizenship(s): \_\_\_\_\_

Countries of taxation: \_\_\_\_\_ Foreign TIN: \_\_\_\_\_

The investor is or has been a politically exposed person (PEP) \* during the last 12 months or a family member or a close business partner to one?

Yes  Additional information if the answer is yes: \_\_\_\_\_  
No  \_\_\_\_\_

Beneficial owner 3.

Name: \_\_\_\_\_ Personal identity code: \_\_\_\_\_ Share %: \_\_\_\_\_

Home address: \_\_\_\_\_

Citizenship(s): \_\_\_\_\_

Countries of taxation: \_\_\_\_\_ Foreign TIN: \_\_\_\_\_

The investor is or has been a politically exposed person (PEP) \* during the last 12 months or a family member or a close business partner to one?

Yes  Additional information if the answer is yes: \_\_\_\_\_  
No  \_\_\_\_\_

\*

Politically exposed person means a natural person who is or who has been entrusted during the last 12 months with prominent public functions including one the following:

- a) heads of State, heads of government, ministers and deputy or assistant ministers
- b) members of parliament
- c) members of the governing bodies of political parties
- d) members of supreme courts, of constitutional courts or of other high-level judicial bodies, the decisions of which are not subject to further appeal, except in exceptional circumstances
- e) members of courts of auditors or members of organ that inspects financial administration of state corresponding to State Auditor's Office
- f) members of the boards of central banks
- g) ambassadors or chargés d'affaires
- h) high-ranking officers in the armed forces
- i) members of the administrative, management or supervisory bodies of State-owned enterprises
- j) directors, deputy directors and members of the board or equivalent function of an international organisation

Politically exposed person’s family members include the following:

- a) the spouse, or a person considered to be equivalent to a spouse under the legislation of the country in question, of a politically exposed person
- b) the children and their spouses, or persons considered to be equivalent to a spouse, of a politically exposed person
- c) the parents of the politically exposed person

Is the company?

A financial institution:  For example a credit institution, an insurance company, an investment firm or other entity, that retains assets on behalf of others as an essential part of the business.

If the company is not a Financial institution, is the company a so-called active or passive non-financial company?

Active

Passive

The company is an active company if at least one of the following applies:

- 1) At least 50 % of the company's profits from the previous financial year consists of sale of products and services.
- 2) The company is owned by a state or government, municipality or other public authority.
- 3) Shares of the company or its parent company are regularly traded on the stock exchange or on an established securities market.

The company is a passive non-financial institution if none of the criterias above apply.

For additional information please contact: [info@fondita.fi](mailto:info@fondita.fi)

Please send the completed form to Fondita Fund Management Company by e-mail, fax or mail.

We also need a copy of the trade register extract (no more than 3 months old) and a copy of a valid passport or an official identity card of the person who signed the subscription form.

We also need a copy of the authorisation if the authorised signatories are not mentioned in the trade register extract.

**I agree to inform the Fund Management Company about changes in the client details and I confirm that the given information is correct.**

Date and signature: \_\_\_\_\_

Print name: \_\_\_\_\_

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